



Signator Investors, Inc.

CUSTOMER IDENTIFICATION PROGRAM

IMPORTANT INFORMATION
PROCEDURES FOR OPENING A NEW ACCOUNT

To help fight the funding of terrorism and money laundering activities, the Department of the Treasury, through the Financial Crimes Enforcement Network (FinCEN), and the Securities and Exchange Commission have jointly adopted Section 326 of the USA Patriot Act. This law requires all broker dealers and financial institutions to obtain, verify and record information that identifies each person or entity that intend to open an account.

In accordance with this regulation, Registered Representatives of Signator Investors, Inc. are required to collect certain information to verify the identity of all customer(s) prior to opening a new account.

What this means for you:

When you open an account, your representative will ask you for certain information—which will include photo identification or other identifying documents that will help authenticate your identity.

As stated in the JHFN/SII Privacy Policy, we take your identity and confidentiality seriously. If SII is not able to acquire enough information that will help us verify your identity, we reserve the right to request additional information or close your account/contract or policy at current value, at any time.